



Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

PTO/SB/17 (10-03)

Approved for use through 07/31/2006. OMB 0651-0032  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

# FEE TRANSMITTAL for FY 2004

Effective 10/01/2003. Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$ 309.00)

## Complete if Known

Application Number	10/663,231
Filing Date	September 16, 2003
First Named Inventor	Guderzo et al.
Examiner Name	Lee Sin Yee Lum Vannucci
Art Unit	3611
Attorney Docket No.	CAM3-PT051

## METHOD OF PAYMENT (check all that apply)

Check  Credit card  Money Order  Other  None

Deposit Account:

Deposit Account Number	22-0493
Deposit Account Name	Volpe and Koenig, P.C.

The Director is authorized to: (check all that apply)

- Charge fee(s) indicated below  Credit any overpayments  
 Charge any additional fee(s) or any underpayment of fee(s)  
 Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

## FEE CALCULATION

### 1. BASIC FILING FEE

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
1001 770	2001 385	Utility filing fee	
1002 340	2002 170	Design filing fee	
1003 530	2003 265	Plant filing fee	
1004 770	2004 385	Reissue filing fee	
1005 160	2005 80	Provisional filing fee	
SUBTOTAL (1) (\$ 0)			

### 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims	Independent Claims	Multiple Dependent	Extra Claims	Fee from below	Fee Paid
	7	- 4	= 3	x 43.00	= 129.00

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
1202 18	2202 9	Claims in excess of 20
1201 86	2201 43	Independent claims in excess of 3
1203 290	2203 145	Multiple dependent claim, if not paid
1204 86	2204 43	** Reissue independent claims over original patent
1205 18	2205 9	** Reissue claims in excess of 20 and over original patent
SUBTOTAL (2) (\$ 129.00)		

\*\*or number previously paid, if greater; For Reissues, see above

### 3. ADDITIONAL FEES

Large Entity Small Entity

Fee Code (\$)	Fee (\$)	Fee Code (\$)	Fee (\$)	Fee Description	Fee Paid
1051 130	2051 65	2051 65	Surcharge - late filing fee or oath		
1052 50	2052 25	2052 25	Surcharge - late provisional filing fee or cover sheet		
1053 130	1053 130	1053 130	Non-English specification		
1812 2,520	1812 2,520	1812 2,520	For filing a request for ex parte reexamination		
1804 920*	1804 920*	1804 920*	Requesting publication of SIR prior to Examiner action		
1805 1,840*	1805 1,840*	1805 1,840*	Requesting publication of SIR after Examiner action		
1251 110	2251 55	2251 55	Extension for reply within first month		
1252 420	2252 210	2252 210	Extension for reply within second month		
1253 950	2253 475	2253 475	Extension for reply within third month		
1254 1,480	2254 740	2254 740	Extension for reply within fourth month		
1255 2,010	2255 1,005	2255 1,005	Extension for reply within fifth month		
1401 330	2401 165	2401 165	Notice of Appeal		
1402 330	2402 165	2402 165	Filing a brief in support of an appeal		
1403 290	2403 145	2403 145	Request for oral hearing		
1451 1,510	1451 1,510	1451 1,510	Petition to institute a public use proceeding		
1452 110	2452 55	2452 55	Petition to revive - unavoidable		
1453 1,330	2453 665	2453 665	Petition to revive - unintentional		
1501 1,330	2501 665	2501 665	Utility issue fee (or reissue)		
1502 480	2502 240	2502 240	Design issue fee		
1503 640	2503 320	2503 320	Plant issue fee		
1460 130	1460 130	1460 130	Petitions to the Commissioner		
1807 50	1807 50	1807 50	Processing fee under 37 CFR 1.17(q)		
1806 180	1806 180	1806 180	Submission of Information Disclosure Stmt	180.00	
8021 40	8021 40	8021 40	Recording each patent assignment per property (times number of properties)		
1809 770	2809 385	2809 385	Filing a submission after final rejection (37 CFR 1.129(a))		
1810 770	2810 385	2810 385	For each additional invention to be examined (37 CFR 1.129(b))		
1801 770	2801 385	2801 385	Request for Continued Examination (RCE)		
1802 900	1802 900	1802 900	Request for expedited examination of a design application		

Other fee (specify) \_\_\_\_\_

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ 180.00)

## SUBMITTED BY

(Complete if applicable)

Name (Print/Type)	Stephen B. Schott	Registration No. (Attorney/Agent)	51,294	Telephone	215-568-6400
Signature	Stephen B. Schott			Date	9/5/2004

**WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.**

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the PATENT APPLICATION of:

Guderzo et al.

Application No.: 10/663,231

Filed: September 16, 2003

Confirmation No.: 3756

For: ELECTRONICALLY SERVO-ASSISTED  
BICYCLE GEARSHIFT AND RELATED  
METHOD

Group: 3611

Examiner: Lee Sin Yee Lum Vannucci

Our File: CAM3-PT051

Date: September 15, 2004

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Further to Applicants' Duty of Disclosure pursuant to 37 C.F.R. §1.56, Applicants wish to bring to the Examiner's attention the material cited on the enclosed PTO-1449 form. Pursuant to Pre-Official Gazette Notice signed July 11, 2003 waiving the requirements under 37 C.F.R. § 1.98(a)(2)(i) for applications filed after June 30, 2003, copies of the cited U.S. documents have not been included. Copies of all other cited references are enclosed.

All of these references were cited as background in the filed application.

**Applicant:** Guderzo et al.  
**Application No.:** 10/663,231

It is respectfully requested that the Examiner consider these documents and return an initialed copy of the PTO-1449 form indicating his consideration of the cited materials.

Respectfully submitted,

Gianfranco Guderzo

By Stephen B. Schott  
Stephen B. Schott  
Registration No. 51,294  
(215) 568-6400

Volpe and Koenig, P.C.  
United Plaza, Suite 1600  
30 South 17th Street  
Philadelphia, PA 19103

SBS/tab



Sheet 1 of 1

 <b>U. S. DEPARTMENT OF COMMERCE</b> <b>PATENT AND TRADEMARK OFFICE</b>	<b>SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (Use several sheets if necessary)	<b>ATTY. DOCKET NO.</b> <b>CAM3-PT051</b>	<b>SERIAL NO.</b> <b>10/663,231</b>
		<b>APPLICANT</b> <b>Guderzo et al.</b>	
		<b>FILING DATE</b> <b>September 16, 2003</b>	<b>GROUP</b> <b>3611</b>

## U.S. PATENT DOCUMENTS

## **FOREIGN PATENT DOCUMENTS**

**OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)**


EXAMINER	DATE CONSIDERED
----------	-----------------

**EXAMINER:** Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.